# An unpublished theorem of Solovay on OD partitions of the reals into two non-OD parts, revisited

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# Theorem (essentially Solovay 2002 back TOC)

Let  $a \in 2^{\omega}$  be Sacks generic over **L**. Then it is true in **L**[a] that

- 1) there is a partition  $2^{\omega} \setminus \mathbf{L} = A \cup B$ , of the  $\Pi_2^1$  set  $2^{\omega} \setminus \mathbf{L}$  of all nonconstructible reals, such that
- 2) the associated equivalence relation on  $2^{\omega} \setminus \mathbf{L}$  is lightface  $\Pi_2^1$ , hence the partition is **OD** as an unordered pair
- 3) A, B are non-**OD**, equivalently, A, B are **OD**-indiscernible.

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The theorem also holds for Miller forcing (superperfect sets in  $\omega^{\omega}$ ) and  $\mathbb{E}_0$ -large forcing (Borel sets  $X \subseteq 2^{\omega}$  s. t.  $\mathbb{E}_0 \upharpoonright X$  is nonsmooth).

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#### **Problem**

Figure out the cases of **Cohen, random, Silver** *etc.* forcing notions.

## On indiscernible sets of reals





Let  $\langle a,b\rangle$  be a Sacks×Sacks generic pair of reals over **L**. Then it is true in **L**[a] that the **L**-degrees  $[a]_L$  and  $[b]_L$  are indiscernible non-**OD** sets but their unordered pair  $\{[a]_L, [b]_L\}$  is **OD**.

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There is a generic extension of **L** in which it holds that there exist disjoint countable indiscernible non-**OD** sets  $X, Y \subseteq 2^{\omega}$  such that their union  $X \cup Y$  and the associated equivalence relation are  $\Pi_2^1$ .

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See *e. g.* **FGH**, **GH** on some modern research related to indiscernible sets.

## Silver's canonization theorem









# Theorem (Silver)

Let E be a Borel equivalence relation on a Borel uncountable set X in a Polish space. There is a perfect set  $Y \subseteq X$  such that:

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Suitable more complex canonization results known from (KSZ) are used for the cases of (Miller) and  $(E_0$ -large) forcing.

By a transfinite construction of length  $\aleph_1$  I construct a P-name E such that the following are forced:

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The proof is a bit too involved to type in using a web-interface like yahoo. (Shades of Fermat's margin!)  $[\ldots]$ 

- Bob



A double-bubble pair, DBP, is a pair of *countable* Borel equivalence relations  $\langle E,D\rangle$  on  $2^\omega$ , such that each D-class is the union of exactly two distinct E-classes (in particular  $E\subsetneq D$ ).

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Thus a DBP  $\langle E,D\rangle$  can be seen as a *Borel* partition of  $2^\omega$  into *countable* parts by D, plus a *finer* Borel partition by E that splits each D-class in exactly two non-empty *half-classes*.

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## **Example**

Define  $\mathbb{E}_0^{\mathrm{even}}$  on  $2^{\omega}$  so that x  $\mathbb{E}_0^{\mathrm{even}}$  y iff  $\{n: x(n) \neq y(n)\}$  has a finite even number of elements. Then  $(\mathbb{E}_0^{\mathrm{even}}, \mathbb{E}_0)$  is a DBP.

## **Extension of DBPs**







A DBP  $\langle E', D' \rangle$  extends  $\langle E, D \rangle$ , in symbol  $\langle E, D \rangle \preccurlyeq \langle E', D' \rangle$ , if

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- D \ E \subseteq D' \ E', so that, for any  $x, y \in 2^{\omega}$ , if  $x \in [y]_{D} \setminus [y]_{E}$  then we still have  $x \in [y]_{D'} \setminus [y]_{E'}$ .





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Thus extension of a DBP  $\langle E,D\rangle$  means coarsening (that is merging classes into bigger classes) of the D-partition and E-subpartition, that honors the original splitting of D-classes into E-halfclasses.



It follows that if  $\lambda \in \mathtt{Ord}$  is limit and  $\langle E_\alpha, D_\alpha \rangle_{\alpha < \lambda}$  is a  $\preccurlyeq$ -increasing sequence then the limit pair  $\lim_{\alpha \to \lambda} \langle E_\alpha, D_\alpha \rangle = \langle \bigcup_{\alpha < \lambda} E_\alpha, \bigcup_{\alpha < \lambda} D_\alpha \rangle$  is a DBP extending each  $\langle E_\alpha, D_\alpha \rangle$ .



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This will allow us to define, in **L**, an increasing transfinite sequence  $\langle \mathsf{E}_\alpha, \mathsf{D}_\alpha \rangle_{\alpha < \omega_1}$  of DBPs such that  $\bigcup_{\alpha < \omega_1} \mathsf{D}_\alpha$  will be essentially the total equivalence while accordingly the union  $\mathsf{E} = \bigcup_{\alpha < \lambda} \mathsf{E}_\alpha$  will lead to the proof of the Solovay theorem.

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But we have to spesify passages from  $\langle \mathsf{E}_{\alpha}, \mathsf{D}_{\alpha} \rangle$  to  $\langle \mathsf{E}_{\alpha+1}, \mathsf{D}_{\alpha+1} \rangle$ .

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# Lemma 1 (Containment Lemma 1)

Assume that  $\langle E, D \rangle$  is a DBP,  $X \subseteq 2^{\omega}$  is a perfect set, and  $f: X \to 2^{\omega}$  is Borel and 1-1. Then there exist:

- a perfect set  $Y \subseteq X$ , and
- a DBP  $\langle E', D' \rangle$  which extends  $\langle E, D \rangle$  and contains  $f \upharpoonright Y$ .

WLOG assume that  $f(x) \neq x$  for all  $x \in X$ .

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Now cook up  $\langle E', D' \rangle$ .

• If  $x \notin \Delta$  then no extension:  $[x]_{D'} = [x]_D$  and  $[x]_{E'} = [x]_E$ .

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Using the two containment lemmas, we define, in L, an  $\preccurlyeq$ -increasing sequence  $\langle E_{\alpha}, D_{\alpha} \rangle_{\alpha < \omega_1}$  of DBPs such that

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**back** 



Using the two containment lemmas, we define, in **L**, an  $\preccurlyeq$ -increasing sequence  $\langle E_{\alpha}, D_{\alpha} \rangle_{\alpha < \omega_1}$  of DBPs such that

- A if  $X \subseteq 2^{\omega}$  is a perfect set and  $f: X \to 2^{\omega}$  Borel and 1-1, then there exist: a perfect set  $Y \subseteq X$  and an ordinal  $\alpha < \omega_1$  such that  $\langle \mathsf{E}_{\alpha}, \mathsf{D}_{\alpha} \rangle$  contains  $f \upharpoonright Y$ ;
- B if  $X \subseteq 2^{\omega}$  is a perfect set then there exist: a perfect set  $Y \subseteq X$ , an ordinal  $\alpha < \omega_1$ , and a Borel 1-1 map  $f: Y \to Y$ , such that  $\langle \mathsf{E}_{\alpha}, \mathsf{D}_{\alpha} \rangle$  contains f negatively;
- C the sequence of pairs  $\langle \mathsf{E}_\alpha, \mathsf{D}_\alpha \rangle$  is  $\Delta_2^1$ , in the sense that there exists a  $\Delta_2^1$  sequence of codes for Borel sets  $\mathsf{E}_\alpha$  and  $\mathsf{D}_\alpha$ .

  This item is not really easy.





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## Theorem (implies Solovay's partition theorem)

Let  $a_0 \in 2^{\omega}$  be Sacks generic over L. It is true in  $L[a_0]$  that

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Proof 1 2





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- $f:X o 2^\omega$  coded in **L** such that  $a_0\in X$  and  $x=f(a_0)$ .
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- $f \upharpoonright Y$ , meaning that  $a_0 D_\alpha f(a_0)$ .

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Thus  $a_0 D x$ , as required.

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## Remark

The following is true in  $L[a_0]$  as well: if  $x \in 2^{\omega} \cap L$  and  $y \in 2^{\omega} \setminus L$  then  $x \not D y$ .

- **1** By Shoenfield, because  $E_{\alpha}$ ,  $D_{\alpha}$  are Borel equiv. relations in **L**.
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## Remark

The following is true in  $\mathbf{L}[a_0]$  as well: if  $x \in 2^\omega \cap \mathbf{L}$  and  $y \in 2^\omega \setminus \mathbf{L}$  then  $x \not \! D y$ . The construction can be modified to ensure that all reals in  $2^\omega \cap \mathbf{L}$  are D-equivalent and  $2^\omega \cap \mathbf{L}$  has exactly two E-classes (similar to  $2^\omega \setminus \mathbf{L}$ ).

3 Let  $x, y, z \in 2^{\omega} \setminus \mathbf{L}$  in  $\mathbf{L}[a_0]$ .

**3** Let  $x,y,z\in 2^{\omega}\smallsetminus \mathbf{L}$  in  $\mathbf{L}[a_0]$ . There is a perfect set  $X\subseteq 2^{\omega}$  coded in  $\mathbf{L}$  and continuous 1-1 maps  $f,g,h:X\to 2^{\omega}$  coded in  $\mathbf{L}$  such that  $a_0\in X$  and  $x=f(a_0),\ y=g(a_0),\ z=h(a_0)$ .

Let  $x,y,z\in 2^\omega\smallsetminus \mathbf{L}$  in  $\mathbf{L}[a_0]$ . There is a perfect set  $X\subseteq 2^\omega$  coded in  $\mathbf{L}$  and continuous 1-1 maps  $f,g,h:X\to 2^\omega$  coded in  $\mathbf{L}$  such that  $a_0\in X$  and  $x=f(a_0),\ y=g(a_0),\ z=h(a_0).$  By  $\mathbf{A}$ , there exist: a perfect  $Y\subseteq X$  coded in  $\mathbf{L}$  and some  $\alpha<\omega_1$  such that  $a_0\in Y$  and  $\langle \mathsf{E}_\alpha,\mathsf{D}_\alpha\rangle$  contains  $f\upharpoonright Y,\ g\upharpoonright Y,\ h\upharpoonright Y.$ 

2 Let  $x,y,z\in 2^\omega\smallsetminus \mathbf{L}$  in  $\mathbf{L}[a_0]$ . There is a perfect set  $X\subseteq 2^\omega$  coded in  $\mathbf{L}$  and continuous 1-1 maps  $f,g,h:X\to 2^\omega$  coded in  $\mathbf{L}$  such that  $a_0\in X$  and  $x=f(a_0),\ y=g(a_0),\ z=h(a_0)$ . By  $\mathbf{A}$ , there exist: a perfect  $Y\subseteq X$  coded in  $\mathbf{L}$  and some  $\alpha<\omega_1$  such that  $a_0\in Y$  and  $\langle \mathsf{E}_\alpha,\mathsf{D}_\alpha\rangle$  contains  $f\upharpoonright Y,\ g\upharpoonright Y,\ h\upharpoonright Y$ . Thus

$$\forall a \in X (a D_{\alpha} f(a) D_{\alpha} g(a) D_{\alpha} h(a))$$

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holds in L, hence, as  $\langle E_{\alpha}, D_{\alpha} \rangle$  is a DBP,

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in **L**. By Shoenfield this is absolute, hence

$$x \to_{\alpha} y \lor x \to_{\alpha} z \lor y \to_{\alpha} z$$

as required.

- 4 Suppose to the contrary that A, B are **OD**. Let  $a_0 \in A$ .
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- Thus the reals  $a_0$  and  $x = f(a_0)$  in  $Y \setminus \mathbf{L} \subseteq A$  satisfy  $a_0 \, \mathbb{P}_{\alpha} \, x$ , but  $a_0 \, \mathbb{P}_{\alpha} \, x$ .

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But 3 already asserts that there are  $\leq 2$  E-classes touching  $2^{\omega} \setminus \mathbf{L}$ , hence we have  $2^{\omega} \setminus \mathbf{L} = A \cup B$ .



TOC

To prove 5 make use of C.





The speaker thanks **everybody** for patience





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